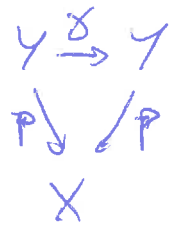


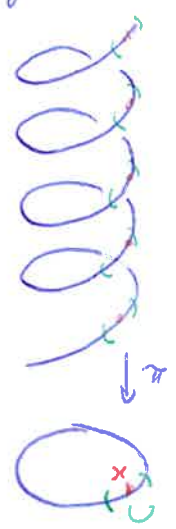
# Covering maps:

Def: A covering map between two (topological spaces) Riemann surfaces is a continuous map  $p: Y \rightarrow X$  so that  $\forall x \in X, \exists U \ni x$  connected open neighborhood such that any connected component of  $p^{-1}(U)$  is mapped by  $\pi$  onto  $U$  as an homeomorphism

A deck transformation associated to the covering  $\pi$  is a continuous map  $\gamma: Y \rightarrow Y$  satisfying  $p \circ \gamma = p$



Deck transformations are also called automorphisms of the covering, and denoted  $Aut(p)$ .



Any Riemann surface admits a covering  $p: \tilde{X} \rightarrow X$  so that  $\tilde{X}$  is simply connected ( $\pi_1(\tilde{X}) = \{e\} \iff$  Any ~~loop~~  $\gamma: S^1 \rightarrow \tilde{X}$  is homotopic to a constant). Such covering is unique up to automorphisms, and called the universal covering of  $X$ .

Fact: the universal covering of  $X$  exists as far as  $X$  is a topological space which is:

- connected ( $\exists U, V$  open,  $U \cap V = \emptyset, U \cup V = X, U, V \neq \emptyset$ )
- locally path connected ( $\forall x \in X, \exists U \ni x$  s.t.  $\exists x \in V \subseteq U$  path connected)
  - ( $\forall y_0, y_1 \in V \exists \gamma: [0, 1] \rightarrow V$  continuous,  $\gamma(0) = y_0, \gamma(1) = y_1$ )
- semi-locally simply connected ( $\forall x \in X \exists U \ni x$  open neighborhood s.t. any loop in  $U$  is homotopic to a constant within  $X$ ).

The universal covering of a Riemann surface is itself a Riemann surface, and coverings and deck transformations are holomorphic maps

A covering  $p$  is called regular, or normal, or Galois, if  $\text{Aut}(p)$  acts transitively on the fibers of  $p$  (every) point:  $\exists x \in X$  (a  $\forall x \in X$ ),  $\forall y_1, y_2 \in p^{-1}(x)$ ,  $\exists \gamma \in \text{Aut}(p)$ ,  $\gamma(y_1) = y_2$ .

This is equivalent to the condition that  $p_* \pi_1(Y, y) \triangleleft \pi_1(X, x)$  (where  $p(y) = x$ )  
↑  
normal subgroup

The universal covering is always normal.

In particular, given such universal covering  $p: \tilde{X} \rightarrow X$ , one has that  $X \cong \tilde{X}/\Gamma$ , where  $\Gamma = \text{Aut}(p)$ .

Conversely, given a simply connected Riemann surface  $\tilde{X}$  (hence  $\tilde{X} = \hat{\mathbb{C}}, \mathbb{C}$  or  $\mathbb{H}$ ), one can ask for which group  $\Gamma$  of automorphisms of  $\tilde{X}$ , the natural projection  $p: \tilde{X} \rightarrow \tilde{X}/\Gamma$  gives rise to a normal covering.

It turns out that this happens iff the action  $\Gamma \curvearrowright \tilde{X}$  is

- 1) free:  $\forall \gamma \in \Gamma, \gamma \neq \text{id} \Rightarrow \text{Fix}(\gamma) = \emptyset$ .
- 2) properly discontinuous:  $\forall K \subset \tilde{X}$  compact,  $\#\{\gamma \in \Gamma \mid \gamma(K) \cap K \neq \emptyset\} < \infty$   
 (K intersects only finitely many of its translates  $\gamma(K)$ ,  $\gamma \in \Gamma$ .)

Discussion on coverings:

3) "regular" action:  $\forall x \in \tilde{X} \exists U \ni x$  <sup>open</sup> neighborhood s.t.  $\gamma(U) \cap U = \emptyset \forall \gamma \neq \text{id} \in \Gamma$   
 (For  $\tilde{X}$  Hausdorff)

(1 & 2  $\Rightarrow$  3) First, notice that condition 2 implies  $\forall x \in \tilde{X} \exists U \ni x$  open nbhd s.t.  $\gamma(U) \cap U = \emptyset$  for all but finitely many  $\gamma \in \Gamma$

Take such a  $U_0 \ni x$ , and let  $\gamma_1 \dots \gamma_n$  the elements s.t.  $U_0 \cap \gamma_i(U_0) \neq \emptyset$

Set  $x_j = x_j$ ;  $x_j = \gamma_j(x_0)$  ( $\gamma_0 = id$ )

Since the action is free,  $\{x_j\}$  are all distinct.

Since  $\tilde{X}$  is Hausdorff, we may consider  $V_j \subset U_j$  all distinct open neighborhoods of  $x_j$ . Set  $W = \bigcap_{j=0}^n \gamma_j^{-1}(V_j)$ .

Then  $W$  is an open neighborhood of  $x_0$  such that  $\gamma(W) \cap W = \emptyset \forall \gamma \in \Gamma$ .

In fact  $W \subset U_0$ , so  $\gamma(W) \cap W = \emptyset \forall \gamma \neq \gamma_0 = id$ .

While  $\gamma(W) \subset V_j$  which does not intersect  $V_0$  by construction.

(3  $\Rightarrow$  1) easy (trivial)

(3  $\Rightarrow$  2)  $K$  compact,  $\forall x \in K$ , let  $U_x$  be such that  $\gamma(U_x) \cap U_x = \emptyset \Rightarrow \gamma = id$ .

$\{U_x\}_{x \in K}$  is an open covering of  $K$   $\rightarrow$  extract a finite covering  $\{U_{x_1}, \dots, U_{x_n}\}$

Claim:  $\forall j \exists$  finitely many  $\gamma$  so that  $\gamma(U_{x_j}) \cap K \neq \emptyset$ .

For  $U = U_{x_j}$ , consider  $D = \{\gamma(U)\}_{\gamma \in \Gamma}$  closed,  $W = \tilde{X} \setminus \bigcup_{\gamma} D$ .

We claim that  $W$  is open. If this is true then  $\{\gamma(U) \mid \gamma \in \Gamma\} \cup \{W\}$  is an open covering of  $K$ .  $\rightarrow$  We may extract a finite covering of  $K$   $\{W, \gamma_1(U), \dots, \gamma_n(U)\}$

Since  $\forall \gamma \exists p \in \gamma(U) \setminus \bigcup_{\delta \neq \gamma} \delta(U)$  we deduce that  $\gamma(U) \cap K = \emptyset \forall \gamma \neq \gamma_1, \dots, \gamma_n$ .

To prove that  $W$  is open: For any  $p \in W$ : take  $U_p$  so that  $U_p \cap \gamma(U_p) = \emptyset \forall \gamma \neq id$ .

Then  $\exists$  at most one  $\gamma$  so that  $U_p \cap \gamma(U_p) \neq \emptyset$ . Set  $V_p = U_p \setminus \{\gamma(x_j)\}$ , which is still open,  $p \in V_p$ , and  $V_p \subset W$ .  $\rightarrow W$  is open. The claim is proved.

To sum up:  $\forall j \exists$  finitely many  $\gamma$  so that  $\gamma(U_j) \cap K \neq \emptyset$ .

Taking all such  $\gamma$  for  $j=1 \dots n$ , we get finitely many  $\gamma$  so that  $\gamma(K) \cap K \neq \emptyset$  and we are done.  $\square$

$\Gamma$  acts freely and properly discontinuously on  $\tilde{X} \Leftrightarrow p: \tilde{X} \rightarrow X$  is a regular covering.

Proof,  $(\Rightarrow)$   $p: \tilde{X} \rightarrow X = \tilde{X}/\Gamma$  is surjective by construction.

Given  $U$  open in  $X$ ,  $p(U)$  is open  $\Leftrightarrow p^{-1}(p(U))$  is open in  $\tilde{X}$ .

But  $p^{-1}(p(U)) = \bigcup_{\gamma \in \Gamma} \gamma(U)$ , which is open because  $\gamma$  are homeomorphisms.

Let  $x \in \tilde{X}$  be any point, and  $U_x \ni x$  a neighborhood so that  $\gamma(U_x) \cap U_x = \emptyset$

$\forall \gamma \in \Gamma, \gamma \neq id \Rightarrow \forall \gamma \neq id, \gamma(U_x) \cap U_x = \emptyset.$

$(\text{if } \emptyset \neq \gamma(U_x) \cap U_x \Rightarrow \emptyset \neq \gamma^{-1}(\gamma(U_x) \cap U_x) = U_x \cap \gamma^{-1} \circ \gamma(U_x))$

$\Rightarrow p^{-1}(p(U_x))$  is the disjoint union of  $\gamma(U_x) \forall \gamma \in \Gamma$

Note that  $\forall u \in U_x, U_x \cap [u]_{\Gamma} = \{u\}$  or it would contradict  $U_x \cap \gamma(U_x) = \emptyset \forall \gamma \in \Gamma, \gamma \neq id$

[Similarly for its translate  $\gamma(U_x)$ ]  $\{\gamma(u) \mid \gamma \in \Gamma\}$

Hence  $\forall u, v \in U_x, p(u) = p(v) \Leftrightarrow [u]_{\Gamma} = [v]_{\Gamma} \Rightarrow u = v$ , and

$p|_{U_x}: U_x \rightarrow p(U_x)$  is injective (has a bijective continuous map)

Since  $p$  is also open,  $p|_{U_x}$  is a homeomorphism.

It follows that  $p$  is a covering map.

Since  $\forall x, y \in X, [x]_{\Gamma} = [y]_{\Gamma}$  there exists  $\gamma \in \Gamma, \gamma(x) = y$  we deduce

that  $p$  is a regular covering (and  $\text{Aut}(p) \cong \Gamma$ )

$(\Leftarrow)$  We show that if  $p$  is a normal covering, then the group of deck transformations

$\text{Aut}(p) = \Gamma$  acts freely and properly discontinuously on  $\tilde{X}$ .

In fact, this happens for any covering, while the normality ensures

that  $\tilde{X} = \tilde{X}/\Gamma$ .

(connected)

To see this  $\forall x \in \tilde{X}$  let  $U \ni p(x)$  be a evenly covered nbhd of  $p = p(x)$ .

Then  $p^{-1}(U) = \bigcup_{p(y)=p} U_y$ , where  $U_y$  are connected open containing  $y$ ,

and  $p: U_y \rightarrow U$  is a homeomorphism.

Then  $U_x$  satisfies  $\gamma(U_x) \cap U_x \neq \emptyset \Rightarrow \gamma = id$

In fact if  $\gamma(U_x) \cap U_x \neq \emptyset \Rightarrow \gamma(U_x) \subseteq U_x$  (by connectivity), and by  $pr \circ \gamma = pr$  we get  $\gamma = id$  ( $\gamma = (pr|_{U_x})^{-1} \circ pr = id$ ).

The map  $pr: \tilde{X} \rightarrow X$  descends to a continuous map  $\Phi: \tilde{X}/\Gamma \rightarrow X$ , since  $pr = pr \circ \gamma \forall \gamma \in \Gamma$ .

Moreover,  $\Phi([x]_\Gamma) = \Phi([y]_\Gamma) \Leftrightarrow pr(x) = pr(y)$ .

By normality  $\exists \gamma \in \Gamma$  s.t.  $\gamma(x) = y$  and  $[x]_\Gamma = [y]_\Gamma$ . Hence  $\Phi$  is injective (and hence a continuous bijection).

Being  $pr$  open,  $\Phi$  is open too. Hence  $\Phi$  is a homeomorphism and  $X \cong \tilde{X}/\Gamma$ .  $\square$

Hence, to describe non simply connected Riemann surfaces, we need to study (discrete) subgroups of  $Aut(\tilde{X})$  acting freely and properly discontinuously, where  $\tilde{X} = \mathbb{C}, \mathbb{C}, \mathbb{D}$  ( $Aut =$  biholomorphisms).

... a few properties of holomorphic functions

Lemma (Schwarz) Let  $f: \mathbb{D} \rightarrow \mathbb{C}$  be a holomorphic map so that  $|f(z)| \leq 1 \forall z \in \mathbb{D}$  and  $f(0) = 0$ .

Then  $|f(z)| \leq |z| \forall z \in \mathbb{D}$  and  $|f'(0)| \leq 1$ .

Moreover, if equality holds for some  $z_0 \in \mathbb{D}^*$ , for if  $|f'(0)| = 1$ , then

$f(z) = cz$  for some constant  $c, |c| = 1$ .

Proof: Consider the map  $g(z) = \frac{f(z)}{z}$ . Since  $f(0) = 0$ ,  $g$  has a removable singularity at 0, and extends to a holomorphic map  $g: \mathbb{D} \rightarrow \mathbb{C}$  by setting  $g(0) = f'(0)$ .

Let  $D(0, r)$  be the open disc centered at 0 of radius  $r < 1$ .

By the maximum principle,  $|g(z)| \leq \max_{z \in \partial D(0, r)} |g(z)| = \max_{z \in \partial D(0, r)} \frac{|f(z)|}{r} \leq \frac{1}{r}$ .

By letting  $r \rightarrow 1^-$ , we infer  $|f(z)| \leq r$  and  $|f'(z)| \leq 1 \quad \forall z \in \mathbb{D}$ . (2.12)

Suppose there exists  $z_0 \in \mathbb{D}$  so that  $|g(z_0)| = 1$ .

Then  $|g|$  admits a local max. point at  $z_0$ , and  $g \equiv c$  is constant. (with  $|c| = 1$ ).

Hence  $f(z) = cz$ . □

Proposition (Aut( $\mathbb{D}$ )).  $\text{Aut}(\mathbb{D}) = \left\{ z \mapsto e^{i\theta} \frac{z-a}{1-\bar{a}z} \mid \theta \in \mathbb{R}, a \in \mathbb{D} \right\}$ .

Proof. Set  $f_a: \mathbb{D} \rightarrow \mathbb{C}$   
 $z \mapsto \frac{z-a}{1-\bar{a}z}$ .

First notice that  $f_a \in \text{Aut}(\mathbb{D})$ . In fact,  $1-\bar{a}z = 0 \Leftrightarrow z = \frac{1}{\bar{a}} \notin \mathbb{D}$ , and

$$\frac{z-a}{1-\bar{a}z} \in \mathbb{D} \Leftrightarrow \left| \frac{z-a}{1-\bar{a}z} \right| < 1 \Leftrightarrow |z-a| < |1-\bar{a}z| \Leftrightarrow (z-a)(\bar{z}-\bar{a}) < (1-\bar{a}z)(1-\bar{a}\bar{z})$$

$$\Leftrightarrow z\bar{z} - a\bar{z} - \bar{a}z + a\bar{a} < 1 - \bar{a}z - \bar{a}\bar{z} + \bar{a}z\bar{z} \Leftrightarrow |z|^2 \cdot |a|^2 - |z|^2 - |a|^2 + 1 > 0$$

$$\Leftrightarrow (1-|z|^2)(1-|a|^2) > 0 \quad \text{Since } |a| < 1, \Leftrightarrow |z| < 1. \quad \text{OK}$$

Being  $f_a$  a rational map of degree 1, it is univalent and hence  $f_a \in \text{Aut}(\mathbb{D})$ . One can also check that  $f_a \circ f_{-a} = f_{-a} \circ f_a = \text{id}$ .

Notice that  $f_a(a) = 0$ .

Let now  $f$  be any element of  $\text{Aut}(\mathbb{D})$ , and let  $a = f^{-1}(0)$ .

Then  $g = f \circ f_a^{-1} \in \text{Aut}(\mathbb{D})$  and  $g(0) = 0$ .

By Schwarz lemma,  $|g(z)| \leq |z| \quad \forall z \in \mathbb{D}$ .

By Schwarz lemma applied to  $g^{-1}$ , we get  $|g^{-1}(z)| \leq |z| \quad \forall z \in \mathbb{D}$ , and

$|g(z)| = |z| \quad \forall z \in \mathbb{D}$ . Again by Schwarz lemma,  $g(z) = e^{i\theta} z$ ,  $\theta \in \mathbb{R}$ . □



Rem  $\text{Aut}(\mathbb{D})$  acts transitively on  $\mathbb{D}$ :

$\forall a, b \in \mathbb{D} \exists f \in \text{Aut}(\mathbb{D}), f(a) = b$ . Here  $f = f_b^{-1} \circ f_a$ .

One could say a little more: could ~~suppose~~ <sup>for example</sup>  $a$  to  $0$  and  $b$  into  $(0, 1)$ .

Poincaré half-plane  $\nearrow \mathbb{D}$  acts doubly transitively on  $\partial\mathbb{D}$ .

Let  $\mathbb{H} = \{x+iy \mid y > 0\} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$

Then  $\mathbb{H}$  is biholomorphic to  $\mathbb{D}$ ,

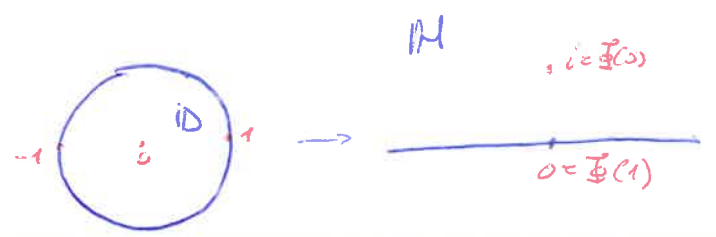
$\Phi: \mathbb{D} \rightarrow \mathbb{H}, \Phi(z) = i \cdot \frac{1-z}{1+z} \quad \Phi^{-1}(w) = \frac{0-w}{1+w}$

It is easy to check that  $\Phi \circ \Phi^{-1} = \text{id}$ .

Moreover:  $\text{Im } \Phi(z) = \text{Re} \left( \frac{1-z}{1+z} \right) = \frac{1}{2} \left( \frac{1-z}{1+z} + \frac{1-\bar{z}}{1+\bar{z}} \right) = \frac{1-|z|^2}{|1+z|^2} > 0 \iff |z| < 1$ .  
 $\infty = \Phi(-1)$

In this model,

$\text{Aut}(\mathbb{H}) = \left\{ z \mapsto \frac{az+b}{cz+d} \mid a, b, c, d \in \mathbb{R}, ad-bc > 0 \right\}$   
 $\cong \text{IPGL}(2, \mathbb{R})$



In fact, notice that  $\text{Aut}(\mathbb{D})$  is generated by  $\{z \mapsto \lambda z \mid |\lambda|=1\} \cup \mathcal{F}$ ,

where  $\mathcal{F}$  is any family in  $\text{Aut}(\mathbb{D})$  so that  $\forall a \in \mathbb{D} \exists f \in \mathcal{F}, f(a) = 0$   
 $(\text{or } f(a) = b)$

Notice that  $G = \left\{ z \mapsto \frac{az+b}{cz+d} \mid a, b, c, d \in \mathbb{R}, ad-bc > 0 \right\}$  is a group.

a subgroup of  $\text{Aut}(\mathbb{H})$ , since:

$\text{Idem } \frac{az+b}{cz+d} = \frac{1}{ci} \left( \frac{az+b}{cz+d} - \frac{a\bar{z}+b}{c\bar{z}+d} \right) = \frac{1}{2i} \left( \frac{(a\bar{z}+b)(c\bar{z}+d) - (a\bar{z}+b)(c\bar{z}+d)}{|c\bar{z}+d|^2} \right) \iff$   
 $(\forall z \in \mathbb{H})$

$0 < \frac{1}{2i} (ad-bc) (z-\bar{z}) = (ad-bc) \text{Im } z$ .  $\forall z \in \mathbb{H}$ .  $\implies \frac{az+b}{cz+d} \in \mathbb{H}$ , and  $G \subset \text{Aut}(\mathbb{H})$ .

$G$  acts transitively on  $\mathbb{H}$ , since  $z \mapsto az+b$  belongs to  $G \forall a, b \in \mathbb{R}, a > 0$ , and  $f(i) = ai+b$  is any point in  $\mathbb{H}$ .

The rotations  $f_\lambda: z \mapsto e^{i\theta} z$  correspond to  $\Phi \circ f_\lambda \circ \Phi^{-1}: w \mapsto \frac{a(w)z + d - 1}{i(a+1)z + i - d}$

(conjugated to the matrix  $\begin{pmatrix} i(\lambda+1) & \lambda-1 \\ 1-\lambda & i(\lambda+1) \end{pmatrix} = \begin{pmatrix} -1 & i \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \lambda & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & i \\ 1 & i \end{pmatrix}$ )

This is equivalent to  $w \mapsto \frac{w \cos \frac{\theta}{2} + \sin \frac{\theta}{2}}{-w \sin \frac{\theta}{2} + \cos \frac{\theta}{2}} \in \mathbb{C}$ .

In fact, set  $\mu = e^{i\frac{\theta}{2}}$ , then  $\begin{pmatrix} i(\mu^2+1) & \mu^2-1 \\ 1-\mu^2 & i(\mu^2+1) \end{pmatrix} \sim \begin{pmatrix} \frac{\mu+\mu^{-1}}{2} & \frac{\mu-\mu^{-1}}{2i} \\ \frac{\mu^{-1}-\mu}{2i} & \frac{\mu+\mu^{-1}}{2} \end{pmatrix} = \begin{pmatrix} \cos \frac{\theta}{2} & \sin \frac{\theta}{2} \\ -\sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix}$

Fixed points of elements of  $\text{Aut}(\mathbb{D})$

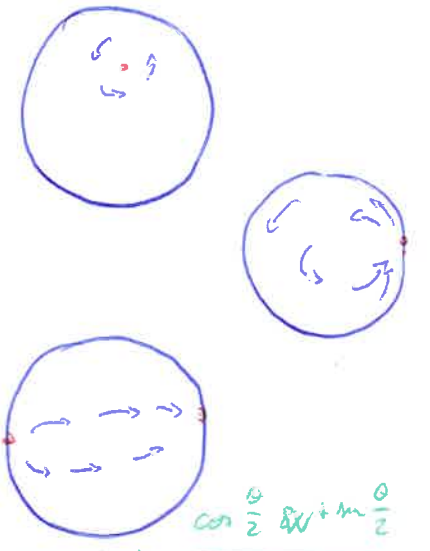
$P(z) = \lambda \cdot \frac{z-a}{1-\bar{a}z}$   $a \in \mathbb{D}, |\lambda|=1$ . assume  $a \neq 0$ .

$z = f(z) \Leftrightarrow z(1-\bar{a}z) = \lambda(z-a) \Leftrightarrow \bar{a}z^2 + z(\lambda-1) - a\lambda = 0$ .

In particular, there are always two fixed points when  $f$  is seen on  $\mathbb{C}$ , and if  $a=0$ , these points are 0 and  $\infty$ . If  $a \neq 0$ , then  $z_1, z_2 = \frac{-a\lambda}{\bar{a}} \Rightarrow |z_1 z_2| = \frac{|a\lambda|}{|a|} = 1$ .

There are three possible cases:

- $\exists! z \in \mathbb{D}, z = f(z)$  (elliptic case)
- $\exists! z \in \partial\mathbb{D}, z = f(z)$  (parabolic case)
- $\exists z_1 \neq z_2 \in \partial\mathbb{D}$  satisfying  $z = f(z)$  (hyperbolic case)



Example: elliptic case  $z \mapsto e^{i\theta} z$  (rotation with center 0)

hyperbolic case,  $z \mapsto \frac{z-a}{1-\bar{a}z}$  (Fix =  $\{-1, 1\}$ , if  $a = \tanh(t)$ , then  $f_a^2 = f_{\tanh(2t)}$ .)  
 $a \in (-1, 1) \setminus \{0\}$ .

corresponds to the family  $F(w) = \mu w, \mu \in \mathbb{R}^+ \setminus \{1\} = (0, +\infty) \setminus \{1\}$

parabolic case  $z \mapsto (1+a\bar{z}) \frac{z-a}{1-\bar{a}z}$ , with  $a$  satisfying  $|a + \frac{1}{2}| = \frac{1}{2}$  ( $a \neq 0, -1$ )

corresponds to the family  $F(w) = w + b, b \in \mathbb{R} \setminus \{0\}$



Rem: Notice that if  $\Gamma \subset \text{Aut}(\mathbb{D})$  acts freely (and properly ~~discontinuously~~) discontinuously, it cannot contain any elliptic element.

We now focus on  $\mathbb{C}$  and  $\hat{\mathbb{C}}$ .  $\nwarrow$  We call any surface covered by  $\mathbb{D}$  "hyperbolic".

Lemma (Cauchy Derivative Estimate). If  $f$  holomorphic maps a disc  $\overline{D(z_0, r)}$  into some disc  $\overline{D(w_0, s)}$ , then  $|f'(z_0)| \leq \frac{s}{r}$ .

Proof: set  $g(z) = f(z_0 + z) - w_0$ , so that  $g(\overline{D(0, r)}) \subseteq \overline{D(0, s)}$ .

By the Cauchy integral formula,  $\forall r' < r$ , we get

$$|f'(z_0)| = |g'(0)| = \left| \frac{1}{2\pi i} \int_{|z|=r'} \frac{g(z)}{z^2} dz \right| \leq \frac{1}{2\pi} \cdot \frac{\sup |g(z)|}{(r')^2} \cdot 2\pi r' = \frac{s}{r'} \rightarrow \frac{s}{r} \quad \square$$

Alternative proof:  $f: \mathbb{D} \rightarrow \mathbb{D}$ ,  $f(0) = z$ ,  $g(z) = f_0 \circ f$  sends  $z$  to  $z$

$$g'(0) = f_0'(z) \cdot f'(0) \Rightarrow |f'(0)| = \frac{|g'(0)|}{|f_0'(z)|} \leq 1 \cdot \frac{1}{|f_0'(z)|} \leq 1 \quad \text{Schwarz}$$

Given now  $f$  such that  $f(\overline{D(z_0, r)}) \subseteq \overline{D(w_0, s)}$ , and

$g(z) = \frac{1}{s}(f(z_0 + rz) - w_0)$  so that  $g(\mathbb{D}) \subseteq \mathbb{D}$ , we get

$$|g'(0)| \leq 1 \text{ and } g'(0) = \frac{r}{s} f'(z_0) \Rightarrow |f'(z_0)| \leq \frac{s}{r} \quad \square$$

Consequence: Liouville theorem:  $f: \mathbb{C} \rightarrow \mathbb{C}$  entire and bounded  $\Rightarrow f$  is constant.

Proof:  $\exists s \gg 0$  s.t.  $f(\mathbb{C}) \subset \overline{D(0, s)}$ . Apply lemma  $\forall z_0 \in \mathbb{C}$  ( $r \rightarrow \infty$ )

$$\Rightarrow |f'(z_0)| \leq 0 \quad \forall z_0 \Rightarrow f' \equiv 0 \Rightarrow f = \text{const.} \quad \square$$

Corollary:  $f: \hat{\mathbb{C}} \rightarrow \mathbb{C}$  holomorphic  $\Rightarrow f$  is constant.

Given  $f: \mathbb{C} \rightarrow \mathbb{C}$  entire, we can see it as a map  $f: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , with a singularity at  $\infty$ .

We have three cases:

•  $\infty$  is a removable singularity:  $\exists \lambda = \lim_{z \rightarrow \infty} f(z) \in \mathbb{C}$ .

$\rightarrow f$  is bounded, and hence constant.

•  $\infty$  is a pole. Prop: in this case,  $f$  is a polynomial (of degree the order of pole at  $\infty$ ).

Proof: Since  $\lim_{z \rightarrow \infty} |f(z)| = +\infty$ ,  $f(z) \neq 0$  outside a compact. Being zeroes isolated, we get that  $f$  has finitely many zeroes,  $z_1, \dots, z_s$ , with multiplicities  $m_1, \dots, m_s$ . Set  $P(z) = (z - z_1)^{m_1} \dots (z - z_s)^{m_s}$ .

Set  $g(z) = \frac{f(z)}{P(z)}$ .  $g$  is holomorphic, defined on all  $\mathbb{C}$  (entire), and  $\neq 0$ .

At  $\infty$ ,  $g$  has either a removable or pole singularity.

Hence either  $g$  or  $\frac{1}{g}$  is a holomorphic function from  $\hat{\mathbb{C}} \rightarrow \mathbb{C}$ , hence it is constant. □

•  $\infty$  is an essential singularity. In this case, we say that  $f$  is transcendental. Example:  $e^z, \cos z$

Prop:  $\text{Aut}(\mathbb{C}) (= \text{Aff}^*(\mathbb{C})) = \{z \mapsto az + b \mid a \in \mathbb{C}^*, b \in \mathbb{C}\}$ .

Proof:  $f \in \text{Aut}(\mathbb{C})$  cannot have an essential singularity at  $\infty$  (or  $f$  wouldn't be injective), hence  $f \in \mathbb{C}[z]$ , and it is invertible  $\Leftrightarrow \deg f = 1$ . □

Rem:  $\text{Fix}(f) = \emptyset \Leftrightarrow f$  is a translation:  $f(z) = z + b$  ( $b \in \mathbb{C}^*$ )  
↑  
Aut( $\mathbb{C}$ )

In particular, any  $\Gamma < \text{Aut}(\mathbb{C})$  acting freely must be generated by translations. If it acts also properly discontinuously, it must be discrete, hence generated by either  $\{0\}$ , 1 or 2 translations.

We get  $X = \mathbb{C}/\Gamma$  to be  $\mathbb{C}$ ,  $\mathbb{C}^*$  (a cylinder) or a torus.  $\mathbb{P}^1 = \mathbb{C}/\langle 1, z \rangle$   
(these are the "parabolic" Riemann surfaces)

We conclude with the Riemann sphere.



Prop: let  $X$  be a Riemann surface. Then  $f: X \rightarrow \hat{\mathbb{C}}$  is holomorphic  $\Leftrightarrow f: X \setminus f^{-1}(\infty) \rightarrow \mathbb{C}$  is meromorphic.

Proof: Being the property local, we may assume  $X = D(0, r)$  and  $f^{-1}(\infty) = \{0\}$ .  $f: X \rightarrow \hat{\mathbb{C}}$  holomorphic at 0 means that  $\frac{1}{f}: X \rightarrow \mathbb{C}$  (may also assume  $f(z) \neq 0 \forall z \in D(0, r)$ ) is holomorphic.

In particular this happens  $\Leftrightarrow \frac{1}{f(z)} = z^m \cdot g(z)$   $g$  holomorphic and  $g(0) \in \mathbb{C}^*$ .  
But then  $f(z) = z^{-m} \cdot \frac{1}{g(z)}$  has a pole at 0. □

Prop:  $f: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  holomorphic  $\Leftrightarrow f$  is rational ( $f \in \mathbb{C}(z)$ ).

Proof: Being  $\hat{\mathbb{C}}$  compact,  $f^{-1}(0) \cup f^{-1}(\infty)$  is a finite set ( $f$  has isolated zeroes and poles = zeroes of  $\frac{1}{f}$ ).  $\mathbb{C} \cap f^{-1}(0) = \{z_1, \dots, z_r\}$  with multiplicities  $m_1, \dots, m_r$ ,

$\mathbb{C} \cap f^{-1}(\infty) = \{w_1, \dots, w_s\}$  with multiplicities  $n_1, \dots, n_s$ .

Set  $R(z) = \frac{\prod_{j=1}^r (z - z_j)^{m_j}}{\prod_{j=1}^s (z - w_j)^{n_j}}$ . Then  $\frac{f(z)}{R(z)} = g(z)$ ,  $g: \mathbb{C} \rightarrow \hat{\mathbb{C}} \setminus \{0, \infty\}$  is

holomorphic. It extends to  $g: \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  holomorphic and avoiding either 0 or  $\infty$ . Hence  $g$  a  $\frac{1}{g}$  are holomorphic  $\hat{\mathbb{C}} \rightarrow \mathbb{C}$ , hence constant. □

Prop.  $\text{Aut}(\hat{\mathbb{C}}) = \left\{ z \mapsto \frac{az+b}{cz+d} \mid a,b,c,d \in \mathbb{C}, ad-bc \neq 0 \right\}$

↑  
Möbius transformation

Proof.  $f \in \text{Aut}(\hat{\mathbb{C}}) \Rightarrow f = \frac{P(z)}{Q(z)}$  ( $P, Q$  without common factors)

Let  $\deg(f) := \max\{\deg P, \deg Q\}$ .

Then  $\forall$  any  $w \in \hat{\mathbb{C}}$ ,  $f^{-1}(w)$  has  $\deg f$  <sup>elements</sup> counted with multiplicity.

They satisfy  $P(z) - wQ(z) = 0$ .

(ok for generic  $w$ . If  $w$  is so that there is a drop in the degree of  $P - wQ$ , it means that  $f^{-1}(w) \ni \infty$ , with multiplicity equal to the drop of degree).

$\Rightarrow \deg f = 1$ , i.e.,  $f$  is a Möbius transformation. □

Rem:  $\forall f \in \text{Aut}(\hat{\mathbb{C}})$ ,  $\text{Fix}(f) \neq \emptyset$  ( $\# \text{Fix}(f) = 1$ ).

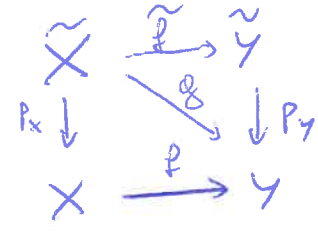
$\Rightarrow$  the only group <sup>of automorphisms</sup> acting freely on  $\hat{\mathbb{C}}$  is  $\{id\}$ , and the only

Riemann surface covered by  $\hat{\mathbb{C}}$  is  $\hat{\mathbb{C}}$  itself. ] only example of "elliptic" surface

Prop: Let  $X, Y$  be two Riemann surfaces, and  $f: X \rightarrow Y$  holomorphic.

~~the~~ If:  $X$  is  $\hat{\mathbb{C}}$  and  $Y$  is  $\begin{cases} \text{parabolic} \\ \text{hyperbolic} \\ \text{hyperbolic} \end{cases}$  then  $f$  is constant.

Proof: Consider the universal coverings of  $X$  and  $Y$ :



$f$  lifts to  $\tilde{f}$  holomorphic (since  $\tilde{X}$  is simply connected) (we may assume  $X, Y$  simply connected)

In all situations, we get  $\tilde{f}|_C : C \rightarrow C$  bounded (by construction if  $\tilde{Y} = \mathbb{D}$ , and by compactness if  $\tilde{X} = \hat{C}$ ).

By Liouville,  $\tilde{f}|_C$  is constant. It follows that  $f$  is itself constant.

(~~the~~ covering maps are local homeomorphisms) □

Theorem (Little Picard Theorem). Any <sup>connected non-empty</sup> open subset  $U$  of  $\hat{C}$  avoiding at least three points of  $\hat{C}$  (i.e. contained in  $\hat{C} \setminus \{a, b, c\}$ ) is a hyperbolic Riemann surface.

Proof.  $U$  cannot be elliptic, since the only open compact subsets of  $\hat{C}$  are  $\emptyset$  and  $\hat{C}$  itself.

We first show that  $\hat{C} \setminus \{a, b, c\}$  is hyperbolic.

In fact, the fundamental group of  $\hat{C} \setminus \{a, b, c\}$  is not abelian, while all parabolic and elliptic Riemann surfaces have abelian fundamental group.

If  $p: C \rightarrow U$  is a covering, then  $f \circ p: C \rightarrow \hat{C} \setminus \{a, b, c\}$  is a holomorphic map between a parabolic or a hyperbolic surface. Hence it is constant, which is a contradiction. □

Other properties:

$\text{Aut}(C)$  is simply 2-transitive

$\text{Aut}(\hat{C})$  is simply 3-transitive.

Def  $\Gamma$  acts <sup>(simply)</sup>  $n$ -transitive on  $X$  if  $\forall \{(x_1, \dots, x_n), (y_1, \dots, y_n)\} \in X^n$  distinct  $n$ -ple of distinct points,  $\exists (\gamma) \in \Gamma$  s.t.  $\gamma(x_j) = y_j \quad \forall j = 1 \dots n$ .

Proof: The property for  $\text{Aut}(C) = \text{Aut}^0(C)$  is easy.

We want to show that  $\forall \alpha, \beta, \gamma$  distinct,  $\exists f(z) = \frac{az+b}{cz+d}$ ,  $ad-bc \neq 0$  such that  $f(0) = \frac{b}{d} = \alpha$ ;  $f(1) = \frac{a+b}{c+d} = \beta$ ,  $f(\infty) = \frac{a}{c} = \gamma$ .

If  $\gamma = \infty$ ,  $f \in \text{Aut}(\mathbb{C})$ , and the property follows from the  $z$ -transitivity of  $\text{Aut}(\mathbb{C})$

If  $\gamma \in \mathbb{C} \Rightarrow c \neq 0, \alpha = \gamma c$ .

If  $\alpha = \infty \Rightarrow d = 0, b \neq 0$ , and  $\frac{\gamma c + b}{c} = \beta$  has a unique solution (up to ~~scalar~~ multiplication by scalars in  $(c, b, c, d)$ ) since  $\beta \neq \gamma$ .

If  $\alpha \neq \infty$ , a direct computation gives  $f(z) = \frac{\gamma z - \alpha}{z - 1}$  if  $\beta = \infty$ .

If  $\alpha, \beta, \gamma \neq \infty$ , the system:  $\begin{cases} b = \alpha d = 0 \\ a + b - \beta c - \beta d = 0 \\ \alpha - \gamma c = 0 \end{cases}$  has rank 1, and we conclude. □

Rem: Holomorphic maps can be seen as <sup>or branched</sup> ramified coverings.

If  $f: X \rightarrow Y$  is a holomorphic map between Riemann surfaces, locally at some point  $p \in X$  and at  $q = f(p) \in Y$ , one can write

~~f(z)~~  $\rightarrow f(z) = z^m \cdot g(z)$ ,  $g(z) \neq 0$ . ( $z$  local parameter at  $p$ ,  $w$  local parameter at  $f(p) = q$ )

Since  $g(z) \neq 0$ ,  $\exists h$  holomorphic in a neighborhood of  $p$ , s.t.  $h(z)^m = g(z)$ ,

and  $f(z) = \underbrace{(z h(z))^m}_{\substack{\text{local parameter} \\ \uparrow \\ |dz|}}$ . In particular  $f \circ \phi^{-1}(z) = z^m$

$\Rightarrow$  Up to changing the local parameter at  $p$ ,  $f$  can be written as a power

If  $m = 1$ , the map defines a local diffeomorphism. If  $m > 1$ ,  $p$  is called a branched point (or critical point) of order  $m$ , and  $f(p)$  is a ramification point (critical values).

If  $f$  is proper ( $\Leftrightarrow X$  compact),  $f|_{X \setminus f^{-1}(f(c_p))} : X \setminus f^{-1}(f(c_p)) \rightarrow Y \setminus f(c_p)$  is a covering map.